



Original Article

Antifungal susceptibility trend and analysis of resistance mechanism for *Candida* species isolated from bloodstream at a Japanese university hospital[☆]



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ABSTRACT

We compared the susceptibility of six commercially available antifungal agents (fluconazole, itraconazole, voriconazole, caspofungin, micafungin, and amphotericin B) against 133 *Candida* bloodstream isolates between 2008 and 2013 at Aichi Medical University Hospital. *C. albicans* was the most common isolate, followed by *C. parapsilosis*, *C. glabrata*, and *C. tropicalis*. MIC_{90s} of voriconazole against *C. albicans*, *C. parapsilosis*, and *C. tropicalis* were the lowest and that of micafungin against *C. glabrata* was the lowest among the agents tested. Of the 133 isolates, two strains were identified as drug-resistant. One was a fluconazole-resistant *C. glabrata* strain, in which the ATP-binding cassette (ABC) transporter gene expression was upregulated. The other was a micafungin-resistant *C. glabrata* strain, that had 13 amino acid substitutions in *FKS1* and *FKS2*, including a novel substitution V1342I in *FKS1* hotspot 2. We also evaluated the susceptibility of T-2307, a novel class of antifungal agents used in clinical trials, against the fluconazole- and micafungin-resistant *C. glabrata* strain; the MICs of T-2307 were 0.0039 and 0.0078 µg/mL, respectively. In conclusion, the incidence of bloodstream infection caused by drug-resistant *Candida* spp. was rare from 2008 to 2013 at our hospital. Of 133 isolates, only two strains of *C. glabrata* were resistant to azoles or echinocandins, that upregulated the ABC transporter genes or had novel FKS mutations, respectively.

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Abbreviations: CLSI, Clinical and Laboratory Standards Institute; MALDI-TOF, matrix-assisted laser desorption/ionization time-of-flight; MIC, minimum inhibitory concentration; MS, mass spectrometry; PCR, polymerase chain reaction; RT-PCR, reverse transcription PCR.

[☆] All authors meet the ICMJE authorship criteria.

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1. Introduction

Fungal infections occur in immunocompromized or hospitalized patients with serious underlying diseases and comorbidities. *Candida* spp. are considered the major causative pathogens of septicemia and account for high mortality rates [1,2]. Some population-based studies conducted in the USA, Europe, and Asia have reported an increased incidence of candidemia [3–6]. Some reports have demonstrated that the antifungal activity of azoles against *C. albicans*, *C. glabrata*, and *C. guilliermondii* isolates from

blood was different from that observed against other types of specimens [7,8]. Therefore, antifungal susceptibility has to be tested for each isolate. Population-based laboratory surveillance conducted in Atlanta and Baltimore, USA, from 2008 to 2011 revealed an increase in the prevalence of non-*albicans* *Candida* spp., which are resistant or less susceptible to azoles [4]. Furthermore, increasing echinocandin resistance in *C. glabrata* has been an emerging concern because it is innately less susceptible to azoles [9]. In *C. glabrata*, amino acid substitutions within the subunits of 1,3- β -glucan synthase, namely *FKS1* and *FKS2*, which are the targets of echinocandins, such as micafungin and caspofungin, have been associated with echinocandin resistance [10]. Echinocandin exposure within the preceding month is the greatest risk factor for developing breakthrough infections caused by echinocandin-resistant *C. glabrata* strains with *FKS* mutations [11]. The acquired resistance of *C. glabrata* to azoles, such as fluconazole, itraconazole, and voriconazole, has been associated with several mechanisms, the most common being the induction of efflux pumps encoded by the ABC transporter genes (*CDR1*, *CDR2*, and *SNQ2*) or MDR belonging to the major facilitator superfamily that leads to decreased drug concentration [12,13]. Azole-resistant strains upregulating *CDR1* have been shown to enhance virulence [14]. Therefore, monitoring resistance trends based on the resistance mechanism at each hospital is needed to predict clinical response and estimate clinical aspects of using novel antifungal agents against drug-resistant strains.

However, there are no epidemiological reports on antifungal resistance surveillance along with resistance mechanism in *Candida* spp. strains isolated from patient blood samples in Japan. In this study, we collected 133 *Candida* bloodstream isolates from Aichi Medical University and examined their resistance to commercially available antifungal agents and the resistance mechanisms. In addition, we evaluated the antifungal activity of T-2307, which is a novel class of antifungal agent used in clinical trials (Fig. 1) [15,16], against drug-resistant *Candida* isolates.

2. Materials and methods

2.1. Organisms

Overall, 133 bloodstream isolates of *Candida* spp. (one isolate per patient, except two isolates per patient were collected from five patients) collected at Aichi Medical University Hospital between June 2008 and September 2013 were used. Table 1 presents the distribution of *Candida* spp. by year. All isolates were identified to the species level using matrix-assisted laser desorption/ionization time-of-flight (MALDI-TOF) mass spectrometry fingerprinting with a MALDI Biotyper (Bruker Daltonics KK, Yokohama, Japan) and CHROMagar™ *Candida* (Mast Diagnostica GmbH, Reinfeld, Germany), according to the manufacturer's specifications. The isolates were stored as frozen stock in 20% glycerol at -80°C . Prior to use, each isolate was subcultured at least twice on Sabouraud dextrose agar plates to ensure purity and optimal growth.

2.2. Antifungal susceptibility testing

Minimum inhibitory concentrations (MICs) were determined by broth microdilution method [17], with a final inoculum concentration of 0.5×10^3 – 2.5×10^3 cells/ml in RPMI 1640 medium

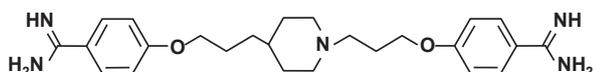


Fig. 1. Chemical structure of T-2307.

Table 1
Distribution of *Candida* Species by year.

Species	No. of <i>Candida</i> spp. by year						total No. (%)
	2008	2009	2010	2011	2012	2013	
<i>C. albicans</i>	10	2	9	17	13	10	61 (45.9)
<i>C. parapsilosis</i>	4	3	7	5	8	5	32 (24.1)
<i>C. glabrata</i>	–	2	4	4	5	2	17 (12.8)
<i>C. tropicalis</i>	–	1	1	3	4	3	12 (9.0)
<i>C. guilliermondii</i>	–	1	3	2	–	–	6 (4.5)
<i>C. metapsilosis</i>	–	2	–	–	–	1	3 (2.3)
<i>C. krusei</i>	–	–	1	–	–	–	1 (0.8)
<i>C. lusitanae</i>	–	–	–	–	1	–	1 (0.8)
total	14	11	25	31	31	21	133 (100.0)

(Sigma, Steinheim, Germany) buffered to pH 7.0 with 0.165 M morpholinepropanesulfonic acid buffer (Merck, Darmstadt, Germany). The antifungal agents used in this study and the concentration ranges tested in two-fold steps were as follows: amphotericin B (Bristol-Myers KK, Tokyo, Japan), 0.0625–32 $\mu\text{g}/\text{mL}$; caspofungin (MSD KK, Tokyo, Japan), 0.000125–64 $\mu\text{g}/\text{mL}$; micafungin (Astellas Pharma Inc., Tokyo, Japan), 0.000125–64 $\mu\text{g}/\text{mL}$; fluconazole (Toyama Chemical Co., Ltd.), 0.000125–64 $\mu\text{g}/\text{mL}$; itraconazole (Janssen Pharmaceutical KK, Tokyo, Japan), 0.0005–4 $\mu\text{g}/\text{mL}$; voriconazole (Pfizer Japan Inc., Tokyo, Japan), 0.000125–64 $\mu\text{g}/\text{mL}$; and T-2307 (Toyama Chemical Co., Ltd.), 0.00000125–64 $\mu\text{g}/\text{mL}$. Each strain was incubated at 35°C for 24–48 h in 96-well plates under aerobic conditions, and the MIC endpoint was determined according to document M27-A3 [17]. MIC was visually assessed as the lowest concentration of agents showing no growth for amphotericin B and prominent growth reduction of $\geq 50\%$ for other antifungal agents compared with the agent-free growth control. *C. krusei* ATCC 6258 and *C. parapsilosis* ATCC 22019 strains were used as controls. The resistance break-points were defined according to the interpretive criteria of Clinical and Laboratory Standards Institute (CLSI) [18]. MIC values ≥ 64 , ≥ 0.5 , and ≥ 0.25 $\mu\text{g}/\text{mL}$ for fluconazole, caspofungin, and micafungin, respectively, were considered as resistant for *C. glabrata*.

2.3. DNA extraction, polymerase chain reaction (PCR) amplification, sequencing, and sequence analysis

DNA was extracted from *Candida* spp. isolates using PrepMan™ Ultra (AppliedBiosystems, Foster City, CA, USA), according to the manufacturer's instructions. PCR was performed in triplicate with the extracted DNA, 0.2 μM each of the appropriate forward and reverse primers (Table 2) [19], and Premix Taq DNA Polymerase (Takara Bio Inc., Shiga, Japan), as recommended by the manufacturer. DNA sequencing was performed by Takara Bio Inc. Sequences were analyzed and translated using GENETYX 12.0 (Genetyx Co., Ltd., Tokyo, Japan). The resultant protein sequences were compared with those of *C. glabrata* CBS138 (GenBank accession number XM_446406 and XM_448401 for *FKS1* and *FKS2*, respectively).

2.4. Quantitative real-time reverse transcription (RT)-PCR

Expression levels of some azole-resistant genes encoding azole efflux pumps and an enzyme of ergosterol biosynthesis were measured by quantitative real-time RT-PCR. Total RNAs were extracted from logarithmic-phase cultures of *Candida* spp. isolates in RPMI 1640 medium (pH 7.0) using the RNeasy Mini Kit (Qiagen, Valencia, CA, USA). Quantitative expression analysis of the target genes *CDR1*, *CDR2*, *SNQ2*, and *ERG11* was then performed. The extracted RNA (100 ng) was reverse transcribed to cDNA in a final volume of 20 μL using the $5 \times$ PrimeScript™ RT Master Mix (Takara Bio Inc.), and 3 μL of the resultant cDNA was used as the template for

Table 2
Oligonucleotides primers used in this study.

Target gene	Primer	Purpose(s)	Sequence (5'-3')
<i>fks1</i>	FKS1F ^a	PCR, sequencing	CACTTAATTAATAAAATGTCTTACAATAATAACGGACAACAAATG
	FKS1R ^a	PCR, sequencing	CACGCGGCCGCTTATTGATTGTAGACCAGGCTCTT
	CgFKS1SQ1	sequencing	CCAACCAGAAGACCAACAGAA
	CgFKS1SQ2	sequencing	GCTGTCTTTACACGCCGATT
	CgFKS1SQ3	sequencing	CGACGGTCGTTACGTTAAGC
	CgFKS1SQ4	sequencing	GGTCTTATGTTTATTTTGCAG
	CgFKS1SQ5	sequencing	CAACTGTCTTTCCGTTGG
	CgFKS1SQ6	sequencing	GAGAGGATGACCAATTCCTCA
	CgFKS1SQ7	sequencing	CCTCCACTAAACGAAGGTGAA
	CgFKS1SQ8	sequencing	TATGGTCACCCGGATTTCAT
	CgFKS1SQ9	sequencing	TTCTGGATTGCCTTTGTCC
<i>fks2</i>	FKS2F ^a	PCR, sequencing	CACTTAATTAATAAAATGTCTTACGATCAAGGTGGAATGGCAAT
	FKS2R ^a	PCR, sequencing	CACGCGGCCGCTTATTTTATAGTGGACCAGGCTCTT
	CgFKS2SQ1	sequencing	GCCGAGAACACGAATCTA
	CgFKS2SQ2	sequencing	AACCAACAAATTTGGTTTCCA
	CgFKS2SQ3	sequencing	TCACCATTATGCCAACAGAGA
	CgFKS2SQ4	sequencing	TGGCTAGTGAATCCAGTTG
	CgFKS2SQ5	sequencing	CATCAATCGAAGATTGTTTAGG
	CgFKS2SQ6	sequencing	TGCCAATTTTACCGTCTTG
	CgFKS2SQ7	sequencing	TGGTTTCAATGCAAAGATTGG
	CgFKS2SQ8	sequencing	TCTGGTGTGCTTGGTATGT
	CgFKS2SQ9	sequencing	TGATGCTTGTATCTATCCGGTTG
<i>cdr1</i>	CDR1F ^b	Detection of gene expression	TTCTCTGGGAAGATTCTCTTG
	CDR1R ^b	Detection of gene expression	TACTAGAATGCTGGTGGTGT
	CDR2F ^b	Detection of gene expression	TAGCACATCAACTACCGAACGT
	CDR2R ^b	Detection of gene expression	AGAGTGAACATTAAGGATGCCATG
	SNQ2F ^b	Detection of gene expression	GTGCTTTATGAAGGCTACCAGATT
	SNQ2R ^b	Detection of gene expression	TCTTAGGACAGAAGTAACCATCT
	ERG11F ^b	Detection of gene expression	ACCATGTGTTCTGAATCAATCAAT
	ERG11R ^b	Detection of gene expression	TCGACATCATTACAATACCAGAAA
	URA3F ^b	Detection of gene expression	ATTGGTGTCTTGATGGGTGGTC
	URA3R ^b	Detection of gene expression	TCTTCTGGACATCTGGTCTTCA
			CATGAGTCTTAAGCAACAAATGT

^a Niimi et al., 2012 [19].

^b Sanguinetti et al., 2005 [20].

real-time PCR with specific primers for the target genes (Table 2) [20]. The experiments were performed in triplicate using Power SYBR[®] Green PCR Master Mix and StepOnePlus™ Real-Time PCR System (both Applied Biosystems), as per manufacturer specifications. Expression levels of the target genes were evaluated with relative quantification using the comparative CT method, according to manufacturer guidelines. *URA3* was used as a reference gene for normalization of the target genes' expression levels in each strain. Results were presented as a fold expression relative to the expression levels identified in azole-susceptible *C. glabrata* ATCC 90030.

2.5. Statistical analysis

The quantitative real-time RT-PCR experiments were performed in triplicate for each isolate. All numerical data were presented as mean ± standard deviation. Data were analyzed using the unpaired two-tailed *t*-test. *P* < 0.05 was considered statistically significant. Statistical analyses were performed using GraphPad Prism (ver. 5.0; GraphPad, La Jolla, CA, USA).

3. Results

3.1. Species distribution of *Candida* bloodstream isolates recovered from 2008 to 2013

Our results revealed that the incidence of candidemia had increased from 2009 to 2012, ranging from 11 to 31 episodes per year; the incidence in 2008 was slightly higher than that in 2009.

The prevalence of *C. albicans* was much lesser in 2009 than in the other years. Collectively, *C. albicans* was the most common isolate (45.9%), followed by *C. parapsilosis* (24.1%), *C. glabrata* (12.8%), and *C. tropicalis* (9.0%; Table 1).

3.2. Antifungal susceptibility testing

Table 3 summarizes the MIC distribution, MIC₅₀, and MIC₉₀ of the antifungal agents tested for the predominant *Candida* spp. The MIC₉₀s of voriconazole against *C. albicans* (0.0078 µg/mL), *C. parapsilosis* (0.0313 µg/mL), and *C. tropicalis* (0.0313 µg/mL) were the lowest among the agents tested. In contrast, the MIC₉₀ of voriconazole against *C. glabrata* (0.5 µg/mL) was higher than those of micafungin (0.0625 µg/mL) and caspofungin (0.125 µg/mL). The MIC₉₀s of amphotericin B were not markedly different (MIC₉₀s, 2–4 µg/mL) among *Candida* spp. Of the 133 isolates, two strains were identified as drug-resistant. One was a fluconazole-resistant (MIC, 64 µg/mL) *C. glabrata* strain, and the other was a micafungin-resistant (MIC, 0.5 µg/mL) *C. glabrata* strain (Table 4). Additionally, we evaluated the *in vitro* antifungal activity of T-2307 that has a novel mechanism of action against drug-resistant *C. glabrata* isolated in this study (Fig. 1) [16,21]. The MICs of T-2307 against fluconazole- and micafungin-resistant *C. glabrata* strains were 0.0039 and 0.0078 µg/mL, respectively (Table 4).

3.3. *FKS* mutations in the micafungin-resistant strain

The patient from whom the micafungin-resistant strain was isolated had been treated twice with micafungin for a few weeks at

Table 3MIC distributions of antifungals determined by the broth microdilution method for 133 *Candida* isolates.

Species and antifungal agent (no. of isolates)	No. of isolates with MIC ($\mu\text{g/mL}$) of ^a :																MIC ₅₀ ($\mu\text{g/mL}$)	MIC ₉₀ ($\mu\text{g/mL}$)		
	0.001	0.002	0.0039	0.0078	0.0156	0.0313	0.0625	0.125	0.25	0.5	1	2	4	8	16	32			64	>64
<i>C. albicans</i> (61)																				
Fluconazole						5	42	14										0.125	0.25	
Itraconazole						11	33	12	4	1								0.0625	0.125	
Voriconazole	1	6	39	15														0.0039	0.0078	
Amphotericin B										1	24	35	1					2	2	
Caspofungin				1		1	21	37	1									0.125	0.125	
Micafungin					1	29	31											0.0625	0.0625	
<i>C. parapsilosis</i> (32)																				
Fluconazole								1	6	16	6	3						0.5	1	
Itraconazole							7	17	8									0.125	0.25	
Voriconazole	1	2	12	10	5	1	1										0.0156	0.0313		
Amphotericin B											1	31						2	2	
Caspofungin									5	26	1							0.5	0.5	
Micafungin										14	14	4						1	2	
<i>C. glabrata</i> (17)																				
Fluconazole											1		9	4	2		1	4	16	
Itraconazole									3	5	7	1		1 ^b				1	2	
Voriconazole						2	2	8	3	1	1							0.125	0.5	
Amphotericin B												2	14	1				2	2	
Caspofungin							1	15	1									0.125	0.125	
Micafungin						13	3				1							0.0313	0.0625	
<i>C. tropicalis</i> (12)																				
Fluconazole								3	6	3								0.25	0.5	
Itraconazole								4	5	2	1							0.25	0.5	
Voriconazole				2	6	3		1										0.0156	0.0313	
Amphotericin B											1	9	2					2	4	
Caspofungin	1					1	6	4										0.0625	0.125	
Micafungin						6	5	1										0.0313	0.0625	
Other <i>Candida</i> spp. (11)																				
Fluconazole											1	2	3		3		1	2	32	
Itraconazole								3		5	1	1		1 ^b				0.5	2	
Voriconazole			1			4		4	1				1					0.125	0.25	
Amphotericin B											2	8	1					2	2	
Caspofungin									8	3								0.25	0.5	
Micafungin						1		1		4	2	3						0.5	2	
All organisms (133)																				
Fluconazole							5	46	26	20	9	6	9	7	2	1	1	1	0.25	4
Itraconazole							11	40	36	20	13	9	2	2 ^b				0.125	0.5	
Voriconazole	1	7	42	29	16	14	3	14	4	1		1	1					0.0078	0.125	
Amphotericin B										1	30	97	5					2	2	
Caspofungin		1		1		2	28	56	15	29	1							0.125	0.5	
Micafungin					1	48	40	2		19	16	7						0.0625	1	

^a Boldface values indicate isolates with MICs above Clinical and Laboratory Standards Institute (CLSI) resistance breakpoints [18].^b The MIC values are >4 $\mu\text{g/mL}$.**Table 4***In vitro* activity of antifungal agents against *Candida* bloodstream isolates resistant to fluconazole or micafungin.

Organism	MIC ($\mu\text{g/mL}$)						
	T-2307	Fluconazole	Itraconazole	Voriconazole	Amphotericin B	Caspofungin	Micafungin
<i>C. glabrata</i>	0.0078	8	0.5	0.125	2	0.125	0.5
<i>C. glabrata</i>	0.0039	64	>4	2	2	0.125	0.0625

the time of strain isolation. *C. glabrata* strains with *FKS* mutations are recovered almost exclusively from patients with prior echinocandin exposure [11]. Therefore, we searched for amino acid substitutions in *FKS1* and *FKS2* of micafungin-resistant *C. glabrata* and identified 12 mutations in *FKS1* and one in *FKS2* (Fig. 2). Of these, a single mutation in *FKS1* hotspot 2, V1342I, was newly identified. This strain was susceptible to the other antifungal agents including caspofungin (Table 4).

3.4. Expression levels of ABC transporter genes in the azole-resistant strain

The patient from whom the fluconazole-resistant strain was isolated had been treated with fluconazole for 2 weeks before

strain isolation. The major mechanism of acquired azole resistance in *C. glabrata* is the induction of efflux pumps, which are encoded by the ABC transporter genes (*CDR1*, *CDR2*, and *SNQ2*) and MDR [12,13]. Upregulation of the *CDR* genes confers resistance to almost all azoles, whereas MDR-encoded efflux pumps have a narrow spectrum specific for fluconazole [13]. The fluconazole-resistant *C. glabrata* isolated in our study was less susceptible to itraconazole and voriconazole compared with other *C. glabrata* isolates (Tables 3 and 4). Thus, we focused on the upregulation of the ABC transporter genes as a mechanism contributing to azole resistance in the isolated strains. The expression levels of *CgCDR1*, *CgCDR2*, and *CgSNQ2* in the azole-resistant isolate were analyzed using quantitative real-time RT-PCR [20] and were significantly increased by 41.9-, 6.1-, and 2.8-fold, respectively, compared with the

[16,26–28]. The MICs of T-2307 against at least *C. glabrata* strains were similar to those in our study [26,27], suggesting that T-2307 could be a promising therapeutic option for treating bloodstream infections caused by *Candida* spp. including drug-resistant strains.

We examined the micafungin-resistant but caspofungin-susceptible *C. glabrata* strain with 13 amino acid substitutions in *FKS1* and *FKS2*, including a novel substitution V1342I in *FKS1* hotspot 2. Although mutation in hotspot 1 is considered the major mechanism for echinocandin resistance [9,29,30], *C. glabrata* isolates susceptible to micafungin and anidulafungin but intermediately resistant to caspofungin were reported to harbor hotspot 2 mutation or non-hotspot mutation [29], which might have caused micafungin resistance in our study, too. Although minor mutations were present in our isolate, the patient had been treated twice with micafungin for a few weeks at the time of strain isolation and died after the isolation. The patients from whom *C. glabrata* strains with these *FKS* mutations were detected may be at risk for breakthrough infections despite the necessity of further investigation about the clinical impact of the *FKS* mutations. We also identified an azole-resistant *C. glabrata* strain in which ABC transporter genes are highly expressed. The patient from whom this azole-resistant strain was isolated had been treated with fluconazole for 2 weeks. Azole resistance with the upregulation of ABC transporter genes was observed in a clinical isolate after exposure to fluconazole for only 2 weeks. Although the resistance rate of *C. glabrata* to azoles or echinocandins in our study was 11.8%, drug-resistant *C. glabrata* strains could have caused clonal spread in our hospital [31]. In fact, echinocandin resistance has been increasing, particularly among fluconazole-resistant *C. glabrata* isolates in North America [9,32]. Thus, we should effectively monitor resistance trends so as to not increase drug-resistant strains and extend their resistance spectrum.

This study has some limitations for generalization. Firstly, the sample size of isolates, particularly those of *C. tropicalis* strains, was small. Secondly, the *FKS* mutational pattern of the micafungin-resistant strain was novel. Finally, this study was performed at a single institution. Therefore, further studies are required to survey the resistance rate of *Candida* bloodstream isolates to antifungal agents, contribution of *FKS* mutations to micafungin resistance and their clinical outcomes, and resistance trends at other hospitals for the optimal use of antifungal agents, including a novel class of antifungal agents such as T-2307.

In conclusion, collectively, drug-resistant *Candida* spp. were still rare from 2008 to 2013 at our hospital. Of the strains tested, only two strains of *C. glabrata* were resistant to azoles or echinocandins, which upregulated the ABC transporter genes or had novel *FKS* mutations, respectively.

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Conflicts of interest

Toru Sakagami, Takaki Kawano, and Kohei Yamashita are researchers of FUJIFILM Corporation. Eio Yamada, Noritomo Fujino, Makoto Kaeriyama, Yoshiko Fukuda, Nobuhiko Nomura, and Junichi Mitsuyama are researchers of the Toyama Chemical Co., Ltd. Yuka Yamagishi has received grant support from Taisho Toyama Pharmaceutical Co., Ltd. Hiroshige Mikamo has received grant support from Pfizer Japan, MSD KK, Taisho Toyama Pharmaceutical Co., Ltd., Eisai Co., Ltd., Daiichi-Sankyo Co., Ltd., Astellas Pharma, Dainippon Sumitomo Pharma Co., Ltd., Shionogi & Co. Ltd., Meiji Seika Pharma Co., Ltd., and Takeda Pharmaceutical Co., Ltd..

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