

# Changes in *Streptococcus pneumoniae* Susceptibility in Wisconsin: Implications for Clinical Treatment Decisions for Respiratory Infections

Erik Munson, PhD, D(ABMM); Stephen C. Lavey; Megan R. Lasure, MPH; and Barry C. Fox, MD

**Objective:** In 2019, the American Thoracic Society and Infectious Diseases Society of America updated clinical practice guidelines for community-acquired pneumonia (CAP). In contrast to guidelines published in 2007, macrolide monotherapy for outpatients was made a conditional recommendation based on resistance levels. Local knowledge of current antimicrobial susceptibility is needed to guide management of CAP and other bacterial respiratory pathogens. The purpose of this study was to investigate antimicrobial susceptibility profiles and trending for Wisconsin *Streptococcus pneumoniae* isolates.

**Design:** Multi-center laboratory surveillance, with testing at a central location utilizing standardized susceptibility testing protocols.

**Methods:** Data published by the Wisconsin Department of Health Services (DHS) were augmented with data from the Surveillance of Wisconsin Organisms for Trends in Antimicrobial Resistance and Epidemiology (SWOTARE) program. Data were stratified by invasive or non-invasive sources, as well as DHS region and compared to data compiled from 2006-2010.

**Results:** Susceptibility rates for  $\geq 916$  invasive *S. pneumoniae* assessed from 2016-2020 were greater than 91% for ceftriaxone, tetracycline, and fluoroquinolone agents and were generally higher than those from 354 non-invasive isolates. Low susceptibility rates were observed for invasive isolates of penicillin (78.7%) and erythromycin (64.8%) and were even lower for non-invasive isolates (73.8% and 59.9%, respectively). This erythromycin susceptibility rate was a significant reduction from that observed in 2006-2010 (80.4;  $P < 0.0002$ ). 24.8% of isolates generated an erythromycin MIC  $\geq 8$   $\mu\text{g/mL}$ . Statewide geographic variability was noted.

**Conclusions:** Rates of *S. pneumoniae* susceptibility to parenteral penicillins and cepheems, and oral tetracycline and fluoroquinolone agents, remain high throughout Wisconsin. However, low oral penicillin susceptibility rates, taken together with declining macrolide susceptibility rates, should cause clinicians to consider alternative treatment options for respiratory tract infections, especially with macrolides.

**Keywords:** *Streptococcus pneumoniae*; macrolides; doxycycline; SWOTARE

**Corresponding Author:** Erik Munson, Department of Medical Laboratory Science, Marquette University, PO Box 1881, Milwaukee, WI 53201-1881, Tel: (414) 288-5848, Fax: (414) 288-7948, Email: erik.munson@marquette.edu

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Treatment of outpatient community-acquired pneumonia (CAP) has undergone transition over the past 15 years. In 2007, the Infectious Diseases Society of America/American Thoracic Society (IDSA/ATS) published guidelines for therapy.<sup>1</sup> One of the more challenging aspects of the management of CAP has been age groups or medical conditions where a macrolide antibiotic was recommended as a sole agent for treatment. In 2007, in previously healthy individuals with no risk factors for drug-resistant *Streptococcus pneumoniae* (DRSP) infections, macrolide monotherapy was strongly recommended over doxycycline.<sup>1</sup> In regions with a high rate of infection (>25%) with “high-level” (minimum inhibitory concentration (MIC) of  $\geq 16$   $\mu\text{g/mL}$ ) macrolide-resistant *S. pneumoniae*, use of alternative agents (i.e., quinolone, or macrolide plus  $\beta$ -lactam) needed to be considered. Other experts chose a more conservative erythromycin breakpoint for resistance ( $\geq 8$   $\mu\text{g/mL}$ ) as being of more clinical relevance.<sup>2-4</sup> With the IDSA/ATS revision in 2019, the consensus was modified to include “high-dose” oral amoxicillin, and placed doxycycline and macrolides on an equivalent status. However, experts continued to caution that in geographic areas with high prevalence of macrolide-resistant *S. pneumoniae* (>25%), other alternatives should be used if monotherapy is considered.<sup>5</sup> Most clinicians are not aware of differentiating low- and high-level resistance among macrolide agents, and the difficulty with this advice for clinicians is knowing what the actual rates of resistance are and how to apply this resistance information to their prescribing habits.

In addition to CAP, *S. pneumoniae* is also the most prevalent bacterium causing both otitis media in children and acute bacterial sinusitis in children and adults. There is no reason to believe that community isolate susceptibility should be appreciably different for these latter conditions compared to CAP. Hence, prescribing for these conditions is also affected by the local rates of resistance. Rates of antimicrobial resistance based on Clinical and Laboratory Standards Institute (CLSI) criteria for achievable oral penicillin/amoxicillin levels have exceeded the 25% threshold for many years, prompting the recommendation in pediatrics to use “high-dose” amoxicillin when necessary for acute otitis media.<sup>6</sup> For acute bacterial sinusitis, amoxicillin-clavulanate or amoxicillin is recommended when antibiotics are necessary in adults and children.<sup>7</sup> Furthermore, “high-dose” amoxicillin-clavulanate and amoxicillin is recommended for children and adults from geographic regions with high endemic rates (>10%) of invasive penicillin-non-susceptible *S. pneumoniae*.<sup>7</sup>

Penicillin and cephem agents have alternative interpretive criteria, as defined by CLSI, for parenteral/non-cerebrospinal fluid (non-CSF) serum and tissue levels of antibiotic that have generally continued to maintain clinical efficacy,<sup>8,9</sup> in spite of a trend towards decreased susceptibility. The final category of CLSI interpretive criteria for penicillin and cephem agents is for cerebrospinal fluid (CSF) infections. Following trends for CSF penicillin- and cephem-resistant *S. pneumoniae* has implications for treatment of suspected bacterial meningitis. Practically

speaking though, the severity of the condition and need to have at least one effective antibiotic from the start has led to the use of vancomycin with the empiric regimen for coverage of possible DRSP infection.

We examined trends in antimicrobial resistance to *S. pneumoniae* in the state of Wisconsin for two time windows, 2006-2010 and 2016-2020. We also examined differences in susceptibility between invasive and non-invasive isolates for the latter period, and present recommendations for treatment of outpatient bacterial infections, especially CAP, based on these trends.

## Materials and Methods

### SWOTARE Data Collection

The Surveillance of Wisconsin Organisms for Trends in Antimicrobial Resistance and Epidemiology (SWOTARE) program is a Wisconsin antimicrobial resistance surveillance initiative operated out of Marquette University in prospective collaboration with nearly two dozen clinical microbiology laboratories. In general, SWOTARE attempts to focus study site recruitment efforts toward non-tertiary care facilities in order to provide a representative profile of Wisconsin antimicrobial resistance patterns.<sup>10-13</sup> On an annual basis, study sites were requested to forward clinically-significant isolates of *S. pneumoniae* to a centralized testing laboratory. Cerebrospinal fluid and bloodstream isolates were categorized as invasive isolates, while isolates from other anatomic sources were categorized as non-invasive isolates. To limit potential of bias during the collection process, isolates were collected in consecutive fashion and any duplicate or nonviable isolates were excluded from the study. Because of the lack of direct involvement in the collection of specimens and because of the utilization of de-identified isolates from routine clinical care, the SWOTARE program is not considered to be actively engaged in human research subjects research by the Marquette University Institutional Review Board.

Reference broth microdilution antimicrobial susceptibility testing was executed<sup>14</sup> using standards published by CLSI and interpreted using pertinent documents in the CLSI M100 series.<sup>15</sup> In brief, submitted isolates of *S. pneumoniae* were used to inoculate customized panels of cation-adjusted Mueller-Hinton broth supplemented with lysed horse blood. Panels were designed with antimicrobial agent dilution ranges that extended beyond individual CLSI breakpoints. Percentage susceptible, intermediate, and resistant values were provided to participating laboratories on a statewide and geographic basis for all agents tested.

### Specialized MIC Considerations

Ceftriaxone MIC data have been interpreted using parenteral meningeal and non-meningeal CLSI interpretive criteria since 2003.<sup>16</sup> Penicillin susceptibility data have been interpreted using oral and parenteral non-meningeal CLSI interpretive criteria since 2008.<sup>17</sup> MIC breakpoints for susceptibility within these two criteria are  $\leq 0.06$   $\mu\text{g/mL}$  and  $\leq 2$   $\mu\text{g/mL}$ , respectively. A third

CLSI interpretive criterion, demarcated as parenteral meningial, has also been published since 2008.<sup>17</sup> More stringent MIC breakpoints for susceptibility within the parenteral meningial and oral criteria are equivalent ( $\leq 0.06$   $\mu\text{g/mL}$ ).

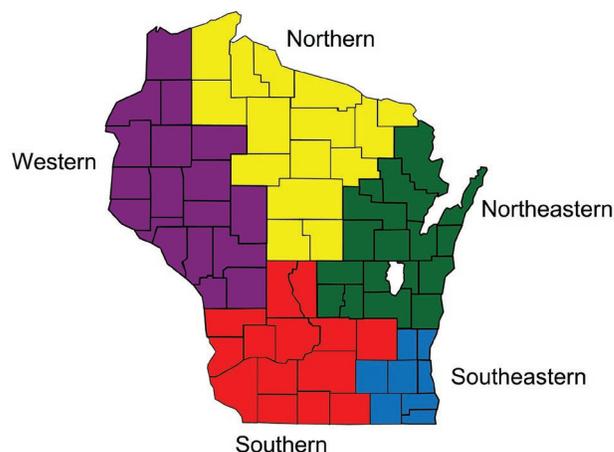
Interpretive criteria for erythromycin broth microdilution susceptibility testing reflect breakpoints of  $\leq 0.25$   $\mu\text{g/mL}$  (susceptible),  $0.5$   $\mu\text{g/mL}$  (intermediate), and  $\geq 1$   $\mu\text{g/mL}$  (resistant).<sup>15</sup> Within this reference, CLSI further states that susceptibility and resistance to azithromycin and clarithromycin can be predicted by testing erythromycin. To assess the potential for high-level erythromycin resistance in Wisconsin *S. pneumoniae* isolates, an MIC endpoint ( $\geq 8$   $\mu\text{g/mL}$ ) commensurate with that described by Lynch and Martinez,<sup>2</sup> Jacobs,<sup>3</sup> and Pérez-Trallero<sup>4</sup> was selected. MIC data reflective of this endpoint were available for all non-invasive isolates and a subset of invasive isolates.

#### Data Collection by Wisconsin Department of Health Services (DHS)

*S. pneumoniae* isolates derived from an invasive source were submitted to the Wisconsin State Laboratory of Hygiene (WSLH) through DHS. Broth microdilution antimicrobial susceptibility testing was performed on a subset of these isolates using methods previously described.<sup>14,15</sup> Prior to 2017, WSLH performed antimicrobial susceptibility testing on all submitted isolates, later switching to performing surveillance on 20% of submitted isolates.

#### Data Compilation and Analysis

Two five-year intervals of *S. pneumoniae* isolate testing were investigated on the basis of data availability: 2006-2010 and 2016-2020. Antimicrobial agents assessed within this study



**Figure 1.** Distribution of five Wisconsin Department of Health Services geographic regions.

included penicillin, ceftriaxone, erythromycin, tetracycline class representatives, and fluoroquinolone class agents. Compiled DHS data from invasive *S. pneumoniae* isolates tested in 2016-2020 were supplemented with compiled SWOTARE data from the same interval for all antimicrobial agent groupings. Compiled SWOTARE data relative to non-invasive *S. pneumoniae* isolates collected from 2016-2020 were available for an initial comparison to the combined DHS-SWOTARE 2016-2020 dataset for invasive *S. pneumoniae* isolates using all antimicrobial agent groupings.

The 2016-2020 data compilations were further stratified in regional fashion. Five DHS regions (Figure 1) that are typically

**Table 1:** Antimicrobial susceptibility profiles for *Streptococcus pneumoniae* non-invasive and invasive isolates, Wisconsin 2016-2020.

| Antimicrobial Agent              | Non-invasive |                        | Invasive |                        |
|----------------------------------|--------------|------------------------|----------|------------------------|
|                                  | n            | Percentage Susceptible | n        | Percentage Susceptible |
| Penicillin oral/CSF <sup>a</sup> | 354          | 73.7                   | 1070     | 78.7 <sup>b</sup>      |
| Penicillin non-CSF <sup>a</sup>  | 354          | 97.5                   | 1020     | 99.4 <sup>c</sup>      |
| Ceftriaxone CSF <sup>d</sup>     | 354          | 93.8                   | 1070     | 93.0                   |
| Ceftriaxone non-CSF <sup>d</sup> | 354          | 97.7                   | 1070     | 99.1 <sup>b</sup>      |
| Erythromycin                     | 354          | 59.9                   | 1070     | 64.8                   |
| Tetracycline                     | 354          | 86.2 <sup>e</sup>      | 916      | 91.4 <sup>c</sup>      |
| Levofloxacin                     | 354          | 98.9                   | 1070     | 99.0                   |
| Moxifloxacin                     | 354          | 99.2                   | 237      | 99.6                   |

<sup>a</sup> Clinical and Laboratory Standards Institute has published oral, parenteral meningial (CSF; each with a susceptibility breakpoint of 0.06  $\mu\text{g/mL}$ ), and parenteral non-meningial (non-CSF) interpretive criteria for *Streptococcus pneumoniae* penicillin broth microdilution MIC data since 2008.

<sup>b</sup>  $P = 0.053$  versus non-invasive isolates

<sup>c</sup>  $P \leq 0.006$  versus non-invasive isolates

<sup>d</sup> Clinical and Laboratory Standards Institute has published parenteral meningial (CSF) and parenteral non-meningial (non-CSF) interpretive criteria for *S. pneumoniae* ceftriaxone broth microdilution MIC data since 2003.

<sup>e</sup> Invasive *S. pneumoniae* doxycycline broth microdilution susceptibility data generated from 2018-2020 revealed 92.6% susceptibility to the agent. Data were not available for non-invasive isolates.

utilized for communication and data analysis within the agency served as the basis for geographic comparison within this study. The significance test of proportions determined if differences in percentage susceptibility were significant. The alpha level was set at 0.05 before the investigations commenced, and all *P* values are two-tailed.

DHS data from invasive *S. pneumoniae* isolates were also available from 2006-2010 for a temporal comparison of penicillin, ceftriaxone, erythromycin, and tetracycline susceptibility between that compilation and the combined DHS-SWOTARE dataset from 2016-2020. Within the earlier dataset, penicillin susceptibility data were analyzed only from 2008-2010, commensurate with CLSI interpretive criteria revisions of 2008.<sup>17</sup> Ceftriaxone susceptibility data interpreted by CLSI meningeal and non-meningeal criteria were only available from 2007-2010.<sup>16</sup> Penicillin and ceftriaxone interpretive criteria and breakpoints remained unchanged throughout the 2016-2020 testing interval. Moxifloxacin susceptibility data were generated only by SWOTARE; doxycycline data were only available through the DHS database.

As an additional means of characterizing geographic variation, the statewide mean susceptibility percentage for a given organism/antimicrobial combination established a baseline value. An interval of 5% on either side of that mean represented normal distribution. Region-specific values  $\geq 5\%$  less than the state mean indicated areas with increased resistance. Region-specific values  $\geq 5\%$  greater than the state mean indicated less resistance potential. These intervals were utilized in previous SWOTARE reports.<sup>10-13,18</sup>

## Results

### Contemporary Comparison of Antimicrobial Susceptibility Profiles from Invasive and Non-Invasive *Streptococcus pneumoniae* Isolates

SWOTARE assessed 354 non-invasive isolates collected from 2016 to 2020. Non-invasive isolates were largely derived from lower respiratory (66.9%) and upper respiratory (27.7%) sources. Data generated from these isolates were compared to a compiled invasive isolate dataset combining data from DHS with SWOTARE.

In general, non-invasive *S. pneumoniae* exhibited less susceptibility to penicillin, erythromycin (when using CLSI-published breakpoints), and tetracycline when compared to invasive isolates (Table 1). Differences in penicillin susceptibility between non-invasive and invasive isolates (73.7% versus 78.7%, respectively) relative to CLSI oral interpretive criteria trended toward significance ( $P = 0.053$ ; Table 1), as did comparisons involving CLSI-published breakpoints for erythromycin (59.9% non-invasive, 64.8% invasive;  $P = 0.10$ ). Differences in tetracycline susceptibility (86.2% non-invasive; 91.4% invasive) were significant ( $P = 0.006$ ). Susceptibility differences between the datasets for two fluoroquinolone agents were nominal.

Differences in penicillin susceptibility between non-invasive and invasive isolates relative to CLSI parenteral non-meningeal interpretive criteria (97.5% and 99.4%, respectively; Table 1) were significant ( $P = 0.002$ ). Trends toward diminished susceptibility included ceftriaxone using

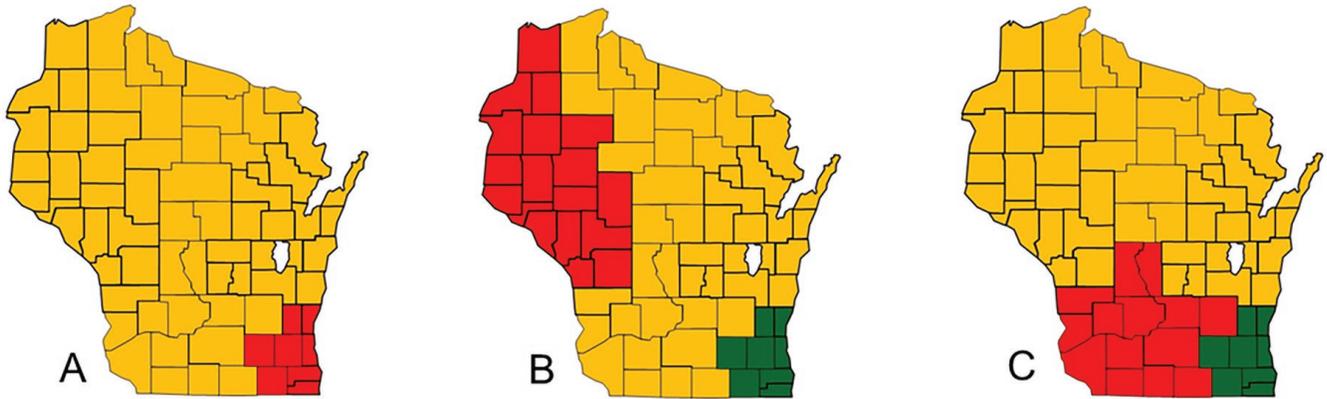
**Table 2: Geographic distribution of antimicrobial susceptibility profiles for *Streptococcus pneumoniae* non-invasive and invasive isolates, Wisconsin 2016-2020 (continued on page 189)**

| Antimicrobial Agent              | Northern     |                  |          |                  | Northeastern |                  |          |                  | Southern     |                  |          |                  |
|----------------------------------|--------------|------------------|----------|------------------|--------------|------------------|----------|------------------|--------------|------------------|----------|------------------|
|                                  | Non-invasive |                  | Invasive |                  | Non-invasive |                  | Invasive |                  | Non-invasive |                  | Invasive |                  |
|                                  | n            | % S <sup>a</sup> | n        | % S <sup>a</sup> | n            | % S <sup>a</sup> | n        | % S <sup>a</sup> | n            | % S <sup>a</sup> | n        | % S <sup>a</sup> |
| Penicillin oral/CSF <sup>b</sup> | 63           | 76.2             | 181      | 79.0             | 119          | 74.8             | 208      | 81.7             | 57           | 77.2             | 158      | 82.3             |
| Penicillin non-CSF <sup>b</sup>  | 63           | 100.0            | 175      | 98.9             | 119          | 95.8             | 199      | 99.5             | 57           | 96.5             | 152      | 100.0            |
| Ceftriaxone CSF <sup>c</sup>     | 63           | 95.2             | 181      | 95.6             | 119          | 94.1             | 208      | 92.3             | 57           | 91.2             | 158      | 94.3             |
| Ceftriaxone non-CSF <sup>c</sup> | 63           | 100.0            | 181      | 98.4             | 119          | 97.5             | 208      | 98.6             | 57           | 94.7             | 158      | 100.0            |
| Erythromycin                     | 63           | 61.9             | 181      | 68.5             | 119          | 58.8             | 208      | 61.1             | 57           | 57.9             | 158      | 59.5             |
| Tetracycline <sup>d</sup>        | 63           | 85.7             | 152      | 91.4             | 119          | 86.6             | 184      | 90.8             | 57           | 78.9             | 126      | 92.1             |
| Levofloxacin                     | 63           | 98.4             | 181      | 99.4             | 119          | 99.2             | 208      | 99.0             | 57           | 100.0            | 158      | 100.0            |
| Moxifloxacin                     | 63           | 98.4             | 58       | 100.0            | 119          | 100.0            | 69       | 100.0            | 57           | 100.0            | 28       | 100.0            |

<sup>a</sup> Percentage susceptible

<sup>b</sup> Clinical and Laboratory Standards Institute has published oral, parenteral meningeal (CSF; each with a susceptibility breakpoint of 0.06 µg/mL), and parenteral non-meningeal (non-CSF) interpretive criteria for *Streptococcus pneumoniae* penicillin broth microdilution MIC data since 2008.

<sup>c</sup> Clinical and Laboratory Standards Institute has published parenteral meningeal (CSF) and parenteral non-meningeal (non-CSF) interpretive criteria for *S. pneumoniae* ceftriaxone broth microdilution MIC data since 2003.



**Figure 2.** Geographic variation of *Streptococcus pneumoniae* non-invasive isolates with respect to penicillin (CLSI oral/parenteral meningeal interpretive criteria; A), erythromycin (CLSI-published breakpoints; B), and tetracycline (C) susceptibility relative to statewide mean, Wisconsin 2016-2020. Regions colored gold represent percentage susceptible rates  $\pm 5\%$  of the Wisconsin mean for the antimicrobial agent. Regions colored red represent percentage susceptible rates  $\geq 5\%$  less than the state mean for the antimicrobial agent. Regions colored green represent percentage susceptible rates  $\geq 5\%$  greater than the state rate for the antimicrobial agent.

CLSI parenteral non-meningeal criteria (97.7% non-invasive, 99.1% invasive;  $P = 0.053$ ).

Data regarding oral penicillin susceptibility extrapolate to the CLSI penicillin parenteral meningeal interpretive criterion due to the shared breakpoint for susceptibility. Ceftriaxone susceptibility rates did not show any appreciable differences

between invasive and non-invasive isolates when CLSI parenteral meningeal criteria were applied ( $P = 0.61$ ).

*Geographic variation in non-invasive Wisconsin S. pneumoniae isolates*

DHS region profiles of fluoroquinolone and ceftriaxone susceptibility within non-invasive *S. pneumoniae* exhibited little variability (Table 2). However, an approximate 10% difference was observed in penicillin susceptibility between Southern (77.2%) and Southeastern (67.7%) region isolates. A 12.2% difference in erythromycin susceptibility (when using CLSI-published breakpoints) was noted upon testing Southeastern and Western region isolates; a decreased rate of erythromycin susceptibility (57.9%) was also noted among Southern region isolates. Similarly, decreased tetracycline susceptibility (78.9%) was observed in Southern region isolates when compared to Southeastern region isolates.

When region-specific invasive *S. pneumoniae* susceptibility rates were compared to the Wisconsin state mean, differences in penicillin, tetracycline, and erythromycin (using CLSI-published breakpoints) susceptibility were noted (Figure 2). The Southeastern region trended toward increased erythromycin and tetracycline susceptibility when compared to other regions of Wisconsin. Western and Southern regions were more likely to exhibit decreased tetracycline or erythromycin susceptibility among non-invasive isolates.

*Geographic Variation in Contemporary Invasive Wisconsin S. pneumoniae Isolates*

The 2016-2020 invasive *S. pneumoniae* dataset was then stratified by DHS region. Inter-region comparisons (Table 2)

**Table 2:** (continued from page 188)

| Southeastern |                  |          |                  | Western      |                  |          |                  |
|--------------|------------------|----------|------------------|--------------|------------------|----------|------------------|
| Non-invasive |                  | Invasive |                  | Non-invasive |                  | Invasive |                  |
| n            | % S <sup>a</sup> | n        | % S <sup>a</sup> | n            | % S <sup>a</sup> | n        | % S <sup>a</sup> |
| 65           | 67.7             | 382      | 75.7             | 50           | 72.0             | 141      | 78.0             |
| 65           | 100.0            | 358      | 99.2             | 50           | 96.0             | 136      | 100.0            |
| 65           | 93.8             | 382      | 90.8             | 50           | 94.0             | 141      | 95.0             |
| 65           | 98.5             | 382      | 99.0             | 50           | 98.0             | 141      | 100.0            |
| 65           | 66.2             | 382      | 66.2             | 50           | 54.0             | 141      | 67.4             |
| 65           | 92.3             | 334      | 92.5             | 50           | 86.0             | 120      | 88.3             |
| 65           | 100.0            | 382      | 98.4             | 50           | 96.0             | 141      | 98.6             |
| 65           | 100.0            | 51       | 98.0             | 50           | 96.0             | 31       | 100.0            |

<sup>d</sup> Doxycycline percentage susceptibility rates also determined for invasive isolates only. 95.2% in Northern region (n = 83 isolates tested); 95.5% in Northeastern region (n = 66); 94.8% in Southern region (n = 58); 87.7% in Southeastern region (n = 155); 96.6% in Western region (n = 59).

**Table 3: Assessment and geographic distribution of high-level erythromycin resistance (defined by minimum inhibitory concentration  $\geq 8 \mu\text{g/mL}$ ) within subsets of non-invasive and invasive *Streptococcus pneumoniae* isolates, Wisconsin 2016-2020**

| <i>Streptococcus pneumoniae</i> Isolate | Northern |                                    | Northeastern |                                    | Southern |                                    | Southeastern |                                    | Western |                                    |
|---|----------|------------------------------------|--------------|------------------------------------|----------|------------------------------------|--------------|------------------------------------|---------|------------------------------------|
|   | n        | Percentage $\geq 8 \mu\text{g/mL}$ | n            | Percentage $\geq 8 \mu\text{g/mL}$ | n        | Percentage $\geq 8 \mu\text{g/mL}$ | n            | Percentage $\geq 8 \mu\text{g/mL}$ | n       | Percentage $\geq 8 \mu\text{g/mL}$ |
| Non-invasive                            | 63       | 23.8                               | 119          | 27.7                               | 57       | 33.3                               | 65           | 21.5                               | 50      | 26.0                               |
| Invasive                                | 141      | 20.6                               | 135          | 23.7                               | 86       | 24.4                               | 206          | 26.2                               | 89      | 23.6                               |

mirrored those from non-invasive comparisons relative to penicillin (Southeastern and Southern regions) and CLSI-advocated erythromycin breakpoints (Southern region demonstrating lowest susceptibility rates, particularly when compared to Northern and Western region isolates). Moreover, when regional erythromycin susceptibility distribution was compared to the Wisconsin mean, a decrease was additionally noted in the Southern region (Figure 3).

Nominal geographic variation was observed with fluoroquinolone agents. Geographic differences in tetracycline susceptibility were of less magnitude with invasive *S. pneumoniae* when compared to non-invasive isolates. Analogous to the observation of decreased penicillin susceptibility in non-invasive *S. pneumoniae*, invasive isolates from the Southeastern region also exhibited lowest rates of

ceftriaxone susceptibility when CLSI meningeal interpretive criteria were applied to MIC data (Table 2).

#### Assessment of High-Level Erythromycin Resistance

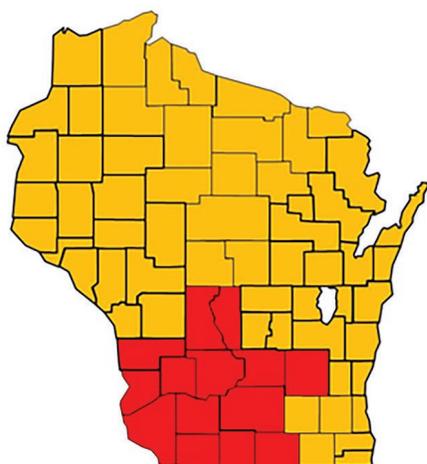
When an MIC endpoint of  $\geq 8 \mu\text{g/mL}$  was used to assess high-level erythromycin resistance, 26.6% and 23.9% of Wisconsin non-invasive and invasive *S. pneumoniae* isolates, respectively, demonstrated high-level resistance. The proportion of high-level resistant non-invasive isolates was higher in the Southern region and less in the Southeastern region (Table 3). Southeastern region findings were consistent with overall increased erythromycin susceptibility for non-invasive isolates within that region (Table 2; Figure 2B). Distribution of high-level erythromycin resistance within invasive isolates was consistent throughout Wisconsin, ranging from 20.6% in the Northern region to 26.2% in the Southeastern region ( $P = 0.23$ ; Table 3).

#### Temporal Trends in Susceptibility among Invasive *Streptococcus Pneumoniae*

Antimicrobial susceptibility against four agents was compared using compiled data from 2006-2010 and 2016-2020 to examine longitudinal trends across Wisconsin (Table 4). Penicillin and ceftriaxone susceptibility has slightly increased over the last decade, particularly when applying CLSI parenteral non-meningeal interpretive criteria to each agent ( $P < 0.0002$ ). Conversely, erythromycin susceptibility (when using CLSI-published breakpoints) has decreased significantly over this interval, as currently 64.8% of invasive isolates of *S. pneumoniae* demonstrate susceptibility ( $P < 0.0002$ ). Tetracycline susceptibility is largely unchanged and remains greater than 91% ( $P = 0.88$ ).

#### Discussion

*S. pneumoniae* is the most important bacterial cause of CAP, as well as acute otitis media and acute sinusitis. Recommendations regarding clinical treatment of these conditions is dependent on the prevalence of susceptible/resistant bacteria, which often varies nationally and regionally. Guidelines infer that when a certain threshold of antibiotic resistance is crossed, choices of antimicrobial therapy should be modified accordingly.



**Figure 3. Geographic variation of *Streptococcus pneumoniae* invasive isolates with respect to erythromycin (CLSI-published breakpoints) susceptibility relative to statewide mean, Wisconsin 2016-2020. Regions colored gold represent percentage susceptible rates  $\pm 5\%$  of the Wisconsin mean. Regions colored red represent percentage susceptible rates  $\geq 5\%$  less than the state mean.**

**Table 4: Comparison of antimicrobial susceptibility profiles for *Streptococcus pneumoniae* invasive isolates, Wisconsin 2006-2010 and 2016-2020**

| Antimicrobial Agent | Wisconsin, 2006-2010 |                        | Wisconsin, 2016-2020 |                        |
|---------------------|----------------------|------------------------|----------------------|------------------------|
|                     | n                    | Percentage Susceptible | n                    | Percentage Susceptible |
| Penicillin oral/CSF | 1231                 | 76.4 <sup>a</sup>      | 1070                 | 78.7                   |
| Penicillin non-CSF  | 1198                 | 93.2 <sup>a</sup>      | 1020                 | 99.4 <sup>b</sup>      |
| Ceftriaxone CSF     | 1604                 | 91.5 <sup>c</sup>      | 1070                 | 93.0                   |
| Ceftriaxone non-CSF | 1612                 | 96.2 <sup>c</sup>      | 1070                 | 99.1 <sup>b</sup>      |
| Erythromycin        | 1978                 | 80.4                   | 1070                 | 64.8 <sup>b</sup>      |
| Tetracycline        | 1978                 | 91.2                   | 916                  | 91.4 <sup>d</sup>      |

<sup>a</sup> Data from 2008-2010; Clinical and Laboratory Standards Institute has published oral, parenteral meningeal (CSF; each with a susceptibility breakpoint of 0.06 µg/mL), and parenteral non-meningeal (non-CSF) interpretive criteria for *Streptococcus pneumoniae* penicillin broth microdilution MIC data since 2008.

<sup>b</sup>  $P < 0.0002$  versus isolates from 2006-2010

<sup>c</sup> Data available from 2007-2010; Clinical and Laboratory Standards Institute publishes parenteral meningeal (CSF) and parenteral non-meningeal (non-CSF) interpretive criteria for *S. pneumoniae* ceftriaxone broth microdilution MIC data.

<sup>d</sup> Invasive *S. pneumoniae* doxycycline broth microdilution susceptibility data generated from 2018-2020 revealed 92.6% susceptibility to the agent

While fluoroquinolone susceptibility rates between invasive and non-invasive *S. pneumoniae* were stable, differences were noted for penicillin, erythromycin, and tetracycline. Among invasive isolates only, there was a surprising increase in *S. pneumoniae* susceptibility to penicillin and ceftriaxone between the two time points, a stable temporal trend for tetracycline, but a significant declining trend for erythromycin susceptibility. Susceptibility and resistance to azithromycin and clarithromycin can be predicted by testing erythromycin.<sup>15</sup>

The 2007 and 2019 IDSA/ATS CAP guidelines recommend that in regions with a high rate of infection (>25%) with “high-level” (MIC  $\geq 16$  µg/mL) macrolide-resistant *S. pneumoniae*, use of alternative agents needs to be considered.<sup>1,5</sup> This erythromycin MIC endpoint for clinical significance and modification of treatment recommendations has been the subject of ongoing medical debate.<sup>2,3,19-21</sup> Resistance to erythromycin has been divided into “high-level” and “low-level” resistance, based on different mechanisms of resistance, beyond consideration of most clinicians.<sup>2-4</sup> While the CAP guidelines choose an MIC  $\geq 16$  µg/mL as a cutoff for clinically meaningful high-level resistance, others have suggested that an MIC  $\geq 8$  µg/mL is more appropriate.<sup>2-4</sup> We chose to analyze trends with this more conservative cutoff (i.e., more isolates interpreted as high-level resistant to erythromycin). Several authors have already cautioned regarding the concerns of using macrolides for the treatment of CAP.<sup>19-21</sup>

The overall resistance rates to erythromycin in Wisconsin exceed the 25% threshold cited in the CAP guidelines using the  $\geq 8$  µg/mL cutoff. There is no reason to believe that similar rates of resistance do not exist for ear and sinus infections. For non-invasive isolates, using the more conservative  $\geq 8$  µg/mL

MIC endpoint, further examination of data shows that 26.6% of all isolates exhibit high-level resistance. There were regional differences, with the Southeastern region having the lowest rate with 21.5%, and the Southern region the highest with 33.3%. When the invasive isolates were examined using the  $\geq 8$  µg/mL MIC endpoint, overall 23.9% of isolates were resistant. Regional differences were also seen, with the Northern region having the lowest rate of 20.6%, and the Southeastern with the highest rate of 26.2%.

Oral doxycycline has largely replaced tetracycline for clinical use. Rates of tetracycline susceptibility among invasive isolates have remained high over time at approximately 91%. Among invasive isolates tested against doxycycline between 2018-2020, 92.6% were susceptible. While in the most recent time window there were differences between invasive and non-invasive isolates in the percentage susceptibility to tetracycline (91.4% vs 86.2%, respectively), such differences are likely clinically insignificant. Doxycycline exhibits increased *in vitro* potency compared to tetracycline, relative to *S. pneumoniae*.<sup>22</sup> Hence, doxycycline appears to be a viable alternative oral antibiotic for the treatment of bacterial respiratory infections.

While we observed several important findings with respect to temporal and geographic variation within *S. pneumoniae* susceptibility data, our findings should be viewed in the context of their limitations. First, analysis of *S. pneumoniae* penicillin data from the 2006-2010 interval was restricted to the years 2008, 2009, and 2010 to allow for a more direct comparison to the 2016-2020 interval with respect to the introduction of oral and non-meningeal interpretive criteria by CLSI in 2008. Evaluations of ceftriaxone MIC data through

CLSI parenteral meningeal and non-meningeal interpretive criteria were only available from 2007 through 2010. It is possible that penicillin and/or ceftriaxone susceptibility data from 2006 and/or 2007 could have altered overall interpretations of temporal comparisons. Secondly, non-invasive data were not available from DHS for both the 2006-2010 and 2016-2020 intervals, as this entity surveilled only invasive isolates of *S. pneumoniae*.

Additional limitations pertained to penicillin susceptibility testing. While both DHS and SWOTARE penicillin MIC data were derived from reference broth microdilution testing, dilution ranges of the agent differed between the two laboratories. Penicillin concentrations within the DHS assay extended to 1 µg/mL, yet the CLSI parenteral non-meningeal breakpoint for susceptibility is set at ≤ 2 µg/mL.<sup>15</sup> Fifty isolates had to be excluded from the presentations in Tables 1 and 4 due to a final MIC reading of >1 µg/mL. It is unclear whether these isolates were either susceptible with an MIC of 2 µg/mL or exhibited decreased susceptibility with higher MIC values. While the exact data within Tables 1 and 4 may be altered incrementally, the overall conclusions would likely not have changed. Reduced susceptibility of *S. pneumoniae* to penicillin has led to modifications in the oral dosing of β-lactams, especially amoxicillin for a variety of respiratory conditions.<sup>5-7</sup> Future investigations may be warranted to confirm the validity of CLSI-adjudicated β-lactam extrapolative data to oral cepheems<sup>23</sup> on a local basis. Finally, different tetracycline class agents were surveilled between the two laboratory entities. While tetracycline-susceptible results do extrapolate into doxycycline susceptibility for *S. pneumoniae*, the converse scenario is not always observed.<sup>15</sup>

In conclusion, temporal and geographic differences in resistance to *S. pneumoniae* exist in Wisconsin, and likely elsewhere. Surveillance programs should be essential to assist in guiding clinician decisions on treatment of bacterial respiratory infections. Based on the observed trend in increasing macrolide resistance, and stable and relatively high percentage of tetracycline/doxycycline susceptibility in Wisconsin, it is suggested that when β-lactam antimicrobial alternatives are needed that clinicians strongly consider using doxycycline preferentially over macrolides in appropriate age groups for the treatment of CAP, and perhaps for acute sinusitis and otitis media as well.

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#### **Author Affiliations**

Erik Munson, PhD, D(ABMM)<sup>\*†</sup>; Stephen C. Lavey<sup>‡</sup>; Megan R. Lasure, MPH<sup>§1</sup>; and Barry C. Fox, MD<sup>¶</sup>

<sup>\*</sup>Department of Medical Laboratory Science, Marquette University, Milwaukee, Wisconsin

<sup>†</sup>Wisconsin Clinical Laboratory Network Laboratory Technical Advisory Group, Madison, Wisconsin

<sup>‡</sup>Carleton College, Northfield, Minnesota

<sup>§</sup>Wisconsin State Laboratory of Hygiene, Madison, Wisconsin

<sup>1</sup>Division of Public Health, Wisconsin Department of Health Services, Madison, Wisconsin

<sup>¶</sup>Department of Medicine, UW-Madison School of Medicine and Public Health, Madison, Wisconsin