### **Review article**

# Immunotherapeutic and pharmacological approaches for the treatment of Alzheimer's disease

#### Marcela Usmari Moraes<sup>†\*</sup> and Timothy J. Gaudet<sup>†</sup>

Department of Applied Sciences, University of the West of England, Coldharbour Ln, Frenchay, Stoke Gifford, Bristol BS16 1QY, UK

\*Corresponding author: Department of Applied Sciences, University of the West of England, Coldharbour Ln, Frenchay, Stoke Gifford, Bristol BS16 1QY, UK. Email: marcelausmari@live.com

Supervisor: Dr Jonathon Hull, Professor Myra Conway, Department of Applied Sciences, University of the West of England, Coldharbour Ln, Frenchay, Stoke Gifford, Bristol BS16 1QY, UK

The development of efficacious treatments targeting Alzheimer's disease (AD) aetiology has been proved an extensive, timeconsuming task. Currently prescribed therapies, primarily acetylcholinesterase inhibitors such as donepezil and the NMDAreceptor antagonist memantine, concentrate on the improvement of symptoms such as cognitive decline and memory loss, however, do not address the underlying pathology of the condition. More recently, efforts have focused on developing drugs that target the hallmarks of AD, amyloid- $\beta$  (A $\beta$ ) plaques and hyperphosphorylated tau tangles. Many clinical trials focusing on the removal of these proteins are presently ongoing, primarily exploring immunotherapeutic avenues such as the antibody aducanumab (targeting A $\beta$ ) and the vaccine AADvac-1 (targeting tau). However, the incomplete understanding of AD progression presents a challenge, and further studies focusing on the development of the disease are essential to the expansion of novel, efficient therapeutic routes.

Key words: amyloid, antibody, dementia, immunotherapy, tau, therapeutics

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#### Introduction

Alzheimer's disease (AD) is one of the most common neurodegenerative diseases characterized pathologically by progressive neurodegeneration, subsequent to accumulation of extracellular amyloid plaques and intracellular neurofibrillary tangles of the microtubule-associated protein tau (Perl, 2010). This manifests clinically as progressive cognitive impairment, commonly amnestic in nature, with sporadic late-onset AD (LOAD) being the predominant cause of senile dementia (Vardarajan *et al.*, 2014). Worldwide, it is estimated 1 in 10 people over the age of 65 have some form of dementia, with AD accounting for 50–70% of all cases (Qiu, Kivipelto, Von Strauss, 2009).

<sup>†</sup>These authors have equally contributed towards this publication.

Understanding of AD pathology is incomplete. However, some of the most developed hypotheses reflect the dysregulation of the cholinergic system and glutamatergic system, in addition to protein aggregation (tau and amyloid) within the brain. Currently, prescribed treatments for AD are based upon the acetylcholine and glutamate dysregulation hypotheses as monotherapy with either acetylcholinesterase inhibitors (AChEIs) or memantine, respectively (National Institute for Health and Clinical Excellence, 2011). Therapies targeting tau and amyloid, most distinctively those exploring the effects of immunotherapy, are still under development but have yet to show clear clinical efficacy over that of current treatment regimens. According to a systematic review by Ehret and Chamberlin (2015), the optimal concentrations for the available acetylcholinesterase inhibitors

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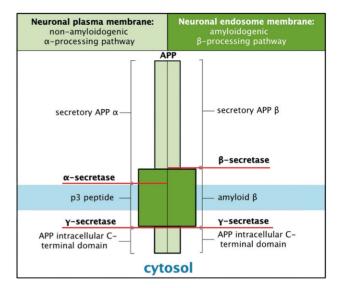
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(galantamine, rivastigmine and dopenezil) and the NMDAreceptor antagonist memantine are unknown, albeit being the only drugs that have demonstrated consistent clinical effects throughout late-phase trials. Whilst short-term trials of 3-6 months were considered acceptable and approved by the US Food and Drug Administration (FDA), they did not consider the long-term efficacy of the drug, nor whether the positive outcomes can be sustained. Although extensive meta-analyses concluded that these drugs are efficacious in reducing major symptoms such as cognitive decline and memory loss, the improvement is modest and temporary (approximately 6 months) and does not address the underlying pathology or life expectancy (Birks, 2006; Molino et al., 2013). Ultimately, a major challenge for future drug development would be a balance between economic output and evaluation of drug efficacy. Furthermore, memantine/AChEI combination therapy does not appear to provide a solution to the limits of either monotherapy. nor is it likely to be a worthwhile avenue of future development (Molino et al., 2013). Therefore, a shift from the currently inadequate symptomatic treatment strategies to targeting or preventing AD aetiology is highly desirable. Drugs such as AChEI and memantine are primarily symptomatic, however, targeting not only the symptoms but also the underlying mechanism through which AD develops is fundamental. A range of diseasemodifying drugs are currently under development targeting amyloid and hyperphosphorylated tau. The combination of drugs which provide symptomatic relief and disease-modifying therapies that may alter the pathological steps leading to the disease, may prove to be a worthwhile route for future treatment.

#### The amyloid hypothesis

At the centre of the amyloid hypothesis is the neuronal surface-membrane-bound protein, the amyloid precursor protein (APP) (Fig. 1). Physiologically, APP co-localizes to neuronal growth cones with the extracellular tropic molecule netrin-1 during neural development. Here, netrin-1 binds its main receptor, DCC (deleted in colorectal cancer), and coreceptor, APP, acting to navigate commissural axon outgrowth via chemoattraction (Rama *et al.*, 2012). Netrin-1 is also expressed and active in the adult brain, including the hippocampus and dentate gyrus (Lourenço *et al.*, 2009) areas associated with memory formation, storage and major sites of AD pathology (Reilly *et al.*, 2003).

APP undergoes either  $\alpha$  or  $\beta$  processing to engender functionally polar intracellular signalling fragments (Fig. 2) (Bredesen, 2009; Dong *et al.*, 2012). Products from its  $\alpha$ -pathway proteolysis regulate neurite outgrowth, synaptic plasticity, maintenance and spatial memory—all promoting memory retention and cell survival in cognitive neural pathways via netrin-1 interactions. Conversely, the  $\beta$ -pathway promotes neurite retraction, synaptic reorganization and neuronal apoptosis—promoting memory loss and reorganization (Bredesen, 2009). In particular,  $\beta$ -processing produces an anomalous A $\beta$  variant with a 42-amino acid sequence, rather than normally produced A $\beta$  1–40, which possesses marked neurotoxicity and aggregative capacity, forming hallmark amyloid plaques in AD brains (Dong *et al.*, 2012).



**Figure 1.** APP structure and differential processing. In the plasma membrane, APP processing and subsequential cleavage occur via the non-amyloidogenic  $\alpha$ -processing pathway, whereas amyloidogenic  $\beta$ -processing occurs in the endosomal membrane.

Lourenço *et al.* (2009) demonstrated that production of  $A\beta$  is inhibited by APP–netrin-1 interaction, and that the netrin-1 binding-region of APP lies in its ectodomain (sAPP $\alpha$  region), including the 17 amino acid residues that overlap with the  $A\beta$ region. As such,  $A\beta$  also binds netrin-1, with the  $A\beta_{1-42}$  variant possessing a higher binding affinity than the  $A\beta_{1-17}$  fragment alone, although the authors comment that this is possibly only due to the inherently adherent properties of  $A\beta$ , and not necessarily biologically relevant.

A $\beta$  production begins with APP proteolysis by  $\beta$ -site APP cleavage enzyme 1 (BACE1) into secretory APP-B and B Cterminal fragment ( $\beta$ -CTF), followed by further cleavage of  $\beta$ -CTF by  $\gamma$ -secretase to A $\beta$  (Fig. 2, 2) (Chow *et al.*, 2010). Holsinger et al. (2002) found that BACE1 is upregulated in AD brains 2.7-fold compared with age-matched controls, although this may not only reflect increased Aß production, as BACE1 has multiple substrates (Chow et al., 2010). The next Aß production mediator, y-secretase, also has multiple substrates, including Notch receptors, and is composed of several components: presenilin 1 or 2 (PS1/PS2), nicastrin, Aph-1 and Pen2 (Chow et al., 2010). Genes coding for these presenilin components contain ~90% of mutations associated with early-onset familial AD (Dong et al., 2012). This upregulation of BACE1 and genetic risk associated with y-secretase in AD, coupled with their pivotal role in Aß production, make both enzymes prime candidates for inhibitory drugs.

Based on such experimental evidence, however, Bredesen (2009) proposes a convincing model for AD that sees A $\beta$  corrupting neural growth/death signalling by shifting the APP  $\alpha$ : $\beta$  processing ratio towards the  $\beta$ -pathway. A $\beta$  (particularly A $\beta_{42}$ ) acts as an anti-trophin, competing with APP for netrin-1

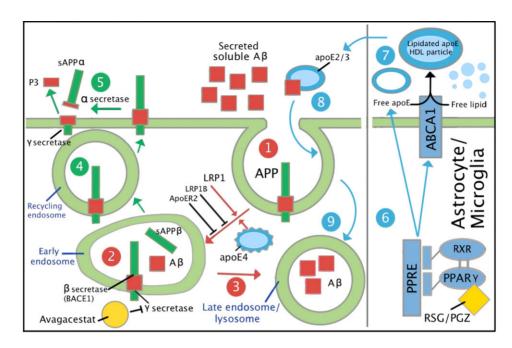


Figure 2. Aß production via amyloidogenic and non-amyloidogenic APP processing pathways, apolipoprotein E-mediated Aß clearance in neurons, and related drug interventions. Ab production—amyloidogenic pathway (red arrows): 10 LRP mediated endocytosis of APP into a clathirin-coated p8it. LDLR family receptors apoER2 and LRP1b act to inhibit this mechanism by retaining APP at the cell surface when bound. apoE4 promotes LRP1-mediated APP endocytosis. 2 The acidic environment of the endosome activates  $\beta$ -secretase, which cleaves APP, shedding secretory APP $\beta$  (aSPP $\beta$ ) and leaving a membrane bound  $\beta$  C-terminal ( $\beta$ -CTF). Next,  $\gamma$ -secretase cleaves  $\beta$ -CTF, producing A $\beta$  (38-43 amino acids long), and APP intracellular C-terminal domain (AICD—not shown). 60 Aβ is then degraded in the lysosomal/late endosome by proteolytic enzymes; Aβ production—non-amyloidogenic pathway (green arrows): ④ APP may also be restored to the plasma membrane via recycling endosomes. (5) α-secretase cleaves APP through the Aβ region, shedding secretory APPα (sAPPα). Next, γ-secretase cleaves the remaining α-CTF into non-toxic peptide P3 and AICD (not shown): Aβ clearance—apolipoprotein E-mediated degradation (blue arrows): 6 Activation of the peroxisome proliferator activated receptor  $\gamma$  (PPAR $\gamma$ ) and the retinoid X receptor (RXR) cause the two to form a heterodimer, which then binds to the peroxisome proliferator response element (PPRE) DNA region, inducing transcription of APOE and abca1 genes. 7 ABCA1 lipidates apoE, forming a high-density lipoprotein (HDL) particle. (3) apoE in this particle binds secreted soluble AB, directing its LPR1-mediated endocytosis and autophagic degradation as in (3). Alternatively, A $\beta$  is degraded by insulin degrading enzyme or neprilysin proteases, or removed from the brain parenchyma via de blood-brain barrier or interstitial fluid drainage pathway (not shown): Aß-targeting drugs (vellow): Avagacestat binds and inhibits γ-secretase (@), thus preventing Aβ production. Rosiglitazone/pioglitazone (RSG/PGZ) activate PPARy (6), inducing apoE transcription and ultimately increasing Aβ clearance. Adapted from Bu (2009) and Mandrekar-Colucci et al. (2012).

binding, preventing neurite outgrowth and consequently impeding cognitive maintenance, learning and memory formation. Additionally, this netrin-1–APP inhibition of A $\beta$  production is itself inhibited. This initiates a positive feedback loop of A $\beta$  generation, as increasing volumes of A $\beta$  surmount the regulatory function of APP–netrin-1 in the brain parenchyma. A $\beta$  ultimately alters neuronal proteostasis to create a microenvironment that is both amyloidogenic and opposes neuronal cell growth signalling, subsequently reflected by the AD phenotype of progressive cognitive impairment as synapses are lost and new neural circuits fail to form.

## Pharmacological targeting of amyloid $\beta$

Treating  $A\beta$  as the lynch-pin of AD pathogenesis offers many opportunities for therapeutic intervention which can be

divided into those targeting either production or clearance. Ohno *et al.* (2004) reported that BACE1 ablation in an AD murine model rescued memory deficits, which was attributed to the 'near abolition' of A $\beta$  production. Current phase III drug verubecestat (MK-8931), a small molecule inhibiting BACE1 and BACE2, has delivered promising results. A study by Kennedy *et al.* (2016) demonstrated a decrease in overall CSF A $\beta$  in mice, monkeys and AD patients, following from phase I trials showing a likewise decrease within volunteers using variable dosage from 2.5 to 550 mg/day, with minimal side effects (ALZ Forum, 2012).

Conversely, two Phase III trials of the first AD candidate  $\gamma$ secretase inhibitor, semagacestat, were aborted due to unforeseen toxicity, with serious adverse effects including susceptibility to skin cancer and infections due to decreased immunity being observed in patients treated with the drug (Doody *et al.*, 2013). Retrospective analysis of this failure concluded that toxicity was caused by inhibition of Notch-receptor pathways .....

via y-secretase (Ghezzi, Scarpini and Galimberti, 2013). However,  $\gamma$ -secretase may still be a worthwhile target, with Li et al. (2007) suppressing  $\gamma$ -secretase activity by 30% via heterozygous knockout of the γ-secretase subunit Aph-1 in ADmodel mice, resulting in a  $35 \pm 16\%$  reduction in A $\beta$  burden. The authors concluded that such moderate suppression avoids adverse side effects associated with impairment of Notchpathways. The y-secretase inhibitor avagacestat builds on this success, inhibiting the A $\beta$  cleavage function of  $\gamma$ -secretase with a 193-fold selectivity over Notch-processing (Gillman et al., 2010). Furthermore, Albright et al. (2013) demonstrated a single 200 mg dose of avagacestat caused a 47% decrease in cerebrospinal fluid (CSF) Aß levels after 12 h in healthy human volunteers, in contrast with a steady increase in those participants in the placebo group. Moreover, the  $\gamma$ secretase and inflammation modulator CHF5074 was also shown to decrease AB plaque burden and learning deficit in rodent models (Imbimbo et al., 2009; Porrini et al., 2015).

Although recent progress has been made by pharmaceutical companies in developing BACE1 inhibitors, some drugs have not made past phase I and II clinical trials. Trials involving small molecule BACE1 inhibitors such as RG7129 from Roche and LY2886721 from Lilly were either dropped or halted before reaching phase III, respectively. Whereas the development of RG7129 was terminated by Roche with no official explanation, LY2886721, an orally administered nonpeptidic BACE1 inhibitor, showed promising results in animal models by reducing  $A\beta$  and successfully succeeded in phase I trials, however the continuous development was cancelled due to abnormal liver biochemistry being reported in a small number of phase II candidates (Vassar, 2014).

More recently, efforts have been placed upon the development of efficient A $\beta$  immunotherapy. Aducanumab, a human monoclonal antibody selectively targeting aggregates and insoluble Aß deposits, showed promising results in mice models and its latest clinical trial including AD patients, being the only drug in AD trials to suggest disease modification at a higher dose in Phase I trials (Sevigny et al., 2016). In this study, 165 patients with clinical AD diagnosis and positive AB PET scan were given monthly doses of 1, 3, 6 or 10 mg kg<sup>-1</sup> of aducanumab over the course of a year. The results showed the antibody readily transverses the blood-brain barrier and reduces Aß aggregates in a time- and dosage-dependant manner. Moreover, in correlation with the decrease in Aß burden, cognitive studies performed determined a steady improvement in cognition. Although the efficacy of aducanumab requires confirmation in larger studies, it provides further evidence that the development of immunotherapies as treatment for AD are a promising target route. In contrast, drugs such as solanezumab, an anti-amyloid monoclonal antibody similarly designed to target and bind to soluble Aß peptide, showed no significant improvements to cognition or functional abilities in two Phase III trials (Doody et al., 2014). Bapineuzumab, another anti-amyloid monoclonal antibody candidate, was also unsuccessful during Phase III trials (Salloway et al., 2014). However, advantages and disadvantages are inevitable

when exploring A $\beta$  active and passive immunization, including variable antibody response and the necessity for repeated antibody infusions over time. Conversely, immunotherapy remains a promising target route and several clinical trials are still ongoing, having passed phase II of clinical development (Lannfelt, Relkin, Siemers, 2014).

### Microtubule-associated protein tau hypothesis

Deposition of neurofibrillary tangles (NFTs) formed from the hyperphosphorylated microtubule-associated protein (MAP) tau correlates closely with AD progression. Tau is produced with alternative mRNA splicing of a single gene (Stoothoff and Johnson, 2005), approximately 80 serine/threonine and 5 tyrosine phosphorylation sites (Wang et al., 2013). This protein can be differentially post translationally modified (which includes, but is not limited to, aggregation, polyamination and nitration), with phosphorylation described as the most common under normal and pathological conditions. Although tau phosphorylation is present in the healthy brain, the concentrations are three to four times lower than those found in the AD brain, and the impact in biological function varies greatly depending on the phosphorylation site (Gong and Iqbal, 2008). Martin, Latypova, Terro (2011) reported that post-translational modifications are most likely responsible for excessive tau phosphorylation (hyperphosphorylation), which reduces its microtubule affinity and destabilizes neuronal cytoskeleton. However, tau hyperphosphorylation is a key mechanism for foetal neurodevelopment, where it is thought to contribute towards neuronal growth and plasticity by maintaining a less stable cytoskeleton (Lovestone and Reynolds, 1997). In the developed brain, aggregation of tau increases according to levels of hyperphosphorylated tau, also causing impairment in the functionality of other proteins (Götz, Ittner, Ittner, 2012). While tau hyperphosphorylation occurs prior to the development of NFTs, the mechanism behind its abnormal formation is not completely understood.

#### Current pharmacological targets of tau

Approaches including stimulation of tau disassembly and inhibition of tau aggregation are the most widely explored therapeutic treatments, and are based on the principle that an imbalance in phosphatases and kinases may lead to hyperphosphorylation of tau and to the development of NFTs (Mangialasche et al., 2010). Phosphoseryl/phosphothreonyl protein phosphatase (PP-2A), responsible for tau dephosphorylation and inhibition of phosphorylationinducing kinases such as mitogen-associated protein kinase (MAPK), has been shown to directly impact AD pathogenesis and is a strong candidate for new pharmacological therapy (Mangialasche et al., 2010; Voronkov, Braithwaite, Stock, 2011; Götz, Ittner, Ittner, 2012). Downregulation of PP-2A and increased levels of PP-2A endogenous inhibitors such as inhibitor 2 (I2) have been shown in post mortem evaluation of AD brains, which resulted in increased AB deposition and tau hyperphosphorylation in in vivo models (Voronkov,

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Braithwaite, Stock, 2011). Over-activation of glycogen synthase kinase 3  $\beta$  (GSK-3 $\beta$ ), involved in A $\beta$  and tau processing, gene transcription and cell signalling, is hypothesized to be linked to tau hyperphosphorylation, A $\beta$  deposition and memory impairment, all of which are observed in AD (Hooper *et al.*, 2008). Furthermore, a study by Wen *et al.* (2008) has shown GSK-3 $\beta$  activity increases with aging, and its inhibition may reverse hyperphosphorylation both *in vitro* and *in vivo* (Engel, 2006).

Therapeutic treatments targeting PP-2A stimulation and GSK-3 $\beta$  inhibition are therefore highly desirable. A $\beta$ -candidate drug memantine has been linked to decreased tau hyperphosphorylation by reversing PP-2A inhibition (Mangialasche *et al.*, 2010), potentially reducing the necessity for combination therapy or simultaneous treatments. Lithium, one of the most studied pharmacological treatments for GSK-3 $\beta$  inhibition, has been correlated with decreased tauopathy and tau lesions *in vivo* (Nakashima *et al.*, 2005; Noble *et al.*, 2005; Pérez *et al.*, 2003). However, both GSK-3 $\beta$  and PP-2A are essential for normal development and play key roles in cellular regulation, requiring further pharmacological investigation and development of pathological-specific drugs rather than broad targeting of these substrates.

Immunotherapy focusing on pathological tau removal is also a strong candidate for drug development. AADvac-1, active immunization therapy targeting tau peptides, has shown to decrease 95% of tau hyperphosphorylation in AD rodent models. The study also indicated levels of tau oligomers and NFTs were reduced, with clinical phenotype of models improving considerably (Kontsekova *et al.*, 2014). Moreover, a double blind, phase I clinical trial conducted from June 2013 to March 2015 demonstrated positive results for AADvac-1, with 29 out of 30 patients developing the IgG immune response expected from the drug (Novak *et al.*, 2016). In conjunction, these results offer promising routes for the development of novel tau immunotherapy.

#### Conclusion

Clinical trials in AD remain challenging due to the struggle to recruit participants, participant compliance and technological limitations related to sensitive markers/measures of cognitive or biochemical improvements as a result of treatment. Moreover, the development of therapeutics targeting multiple pathways responsible for the pathogenesis of AD is undoubtedly complex, and is yet to produce a disease-modifying agent. Acetylcholinesterase inhibitors such as rivastigmine, dopenezil and galantamine, and the NMDA-receptor antagonist memantine are thus far the only therapeutics approved by the FDA and available for AD treatment. These treatments, however, offer no more than symptomatic relief over a short period of time, and have variable efficacy from patient to patient. Although any improvement must be considered a triumph, without efficacious treatments concentrating on the underlying pathology there is very little possibility of long-term recovery. Moreover, while passive and active

immunization targeting either A $\beta$  or hyperphosphorylated tau are still under development, most studies were halted after unsuccessful Phase III trials. There is, however, a growing expectation of those clinical trials persisting for longer periods and focusing on specific populations, therefore gathering more relevant data that could lead to the development of an effective treatment.

#### Author's biography

[Timothy J Gaudet] Having attained a First in BSc (Hons) Biomedical Science, Timothy has directed the data handling skills he has learned towards finance. He is now a Director of a sportswear clothing company. They developed the study, provided essential materials, analysed data, wrote the article and had primary responsibility for final content. [Marcela Usmari Moraes] Having recently obtained a degree in BSc (Hons) Biomedical Science, Marcela currently works as a Research Assistant in a biochemistry laboratory. She has worked with Professor Myra Conway from her first academic year, completing two summer placements and a year placement in brain biochemistry, which have contributed towards the preparation of two manuscripts. Marcela has plans to pursue a PhD and continue her career in academia in the following vears. They provided essential materials, analysed data, wrote the paper and had primary responsibility for final content.

#### **Conflict of Interest Statement**

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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